

## **Trusted Contact (FINRA Rule 4512) Disclosure**

For purposes of this Rule, the term “Trusted Contact Person” shall mean the person who may be contacted about the Specified Adult's Account in accordance with Rule 4512. A trusted contact person is an individual who we can communicate with to disclose information about your account if: 1) We reasonably believe the account holder has a mental or physical impairment that renders the individual unable to protect his or her own interests and maybe the subject of financial exploitation as defined by FINRA Rule 4512 or 2) We are unable to reach the account holder in regard to their account. Tradier may confirm specifics of your current contact information such as the identity of any legal guardian, executor, trustee or holder of a power of attorney, in order to verify any wrongful or unauthorized taking, withholding, appropriation, or use of a Specified Adult's funds or securities; or attempts to obtain control, through deception, intimidation or undue influence, over the Specified Adult's money, assets or property.